

# UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(b)

ASSISTANT COMMISSIONER FOR PATENTS

PATENT APPLICATION

Case Docket No.:

U-1

932 U.S. PTO  
09/704733  
11/03/00

Transmitted herewith for filing is the patent application of

INVENTOR OR APPLICATION IDENTIFIER: Susan D. ALLEN

FOR: OPTICAL FIBERS OR OTHER WAVEGUIDES HAVING A PLURALITY OF TAP STRUCTURES FOR FORMING ILLUMINATION PATTERNS AND METHOD OF MAKING THE SAME

Enclosed are:

1. ☒ 36 pages of specification, claims, abstract
2. ☒ 17 sheets of FORMAL drawing.
3. ☐ pages of newly executed Declaration & Power of Attorney (original).
4. ☒ Priority Claimed to U.S. Provisional Application No. 60/123,292, whose entire disclosure is incorporated herein by reference.
5. ☐ Small Entity Statement.
6. ☐ Information Disclosure Statement, Form PTO-1449 and reference.
10. ☒ Authorization under 37 C.F.R. §1.136(a)(3).
11. ☐ Other:

7. ☐ Assignment Papers for \_\_\_\_\_ (cover sheet, assignment & assignment fee).
8. ☐ Certified copy of \_\_\_\_\_
9. ☒ Two (2) return postcards.  
☒ Stamp & Return with Courier.  
☒ Prepaid Postcard-Stamped Filing Date & Returned with Unofficial Serial Number.

CLAIMS AS FILED					
For	No. Filed		No. Extra	Rate	Fee
Total Claims	35	- 20	15	X \$9.00	\$ 135.00
Indep. Claims	5	- 3	2	X \$40.00	\$ 80.00
Multiple Dependent Claims (If applicable)				X \$270.00	\$ 0.00
BASIC FEE					\$ 355.00
TOTAL FILING FEE					\$ 570.00

- ☐ This is a Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ filed \_\_\_\_\_. Incorporation By Reference-The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Amend the specification by inserting before the first line the sentence:  
 --This application is a continuation-in-part of Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.--
- ☐ A check in the amount of \$\_\_\_\_\_ (Check #\_\_\_\_\_) is attached.
- ☐ Please charge my Deposit Account No. 16-0607 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy is enclosed.
- ☐ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy of this sheet is enclosed.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.
- ☐ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

FLESHNER & KIM, LLP

Mark L. Fleshner  
 Registration No. 34,596  
 Carol L. Druzick  
 Registration No. 40,287

Correspondence Address Below:

P.O. Box 221200  
 Chantilly, VA 20153-1200  
 (703) 502-9440 CLD/cem

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